

NATIONAL PENSION SYSTEM (NPS) – SUBSCRIBER REGISTRATION FORM - Private Sector

Protean eGov Technologies Limited (formerly NSDL e-Governance Infrastructure Ltd.)

How did you hear about NPS Friend / family Social media News paper / magazines TV / Radio Financial advisor / apps EmployerPRAN Card & Kit*
(refer sl no. 1 of instructions)

i. PRAN Card (please tick(√))

ePRAN Card

Physical PRAN Card

Through Email

Physical Kit (Courier / post)

Print my PRAN in Hindi

Yes

No

If yes, please submit details as per Annexure I

Please select your category*

Corporate

All Citizen

Paste recent passport size photograph (3.5 cm × 2.5 cm size)
Do not sign across
Do not staple / clip

To,
National Pension System Trust
Dear Sir/Madam,

I hereby request that an NPS account be opened in my name as per the particulars given below:

* indicates mandatory fields. Please fill the form in English and BLOCK letters (Refer general guidelines at instructions page.)

CKYC Identifier

RA Code

1. PERSONAL DETAILS: (Refer Sr. No. 1 of the instructions)

Use Annexure II if name exceeds the space provided below

Salutation*

Shri

Smt.

Kumari

Applicant Name*

F i r s t M i d d l e L a s t

Father's Name

F i r s t M i d d l e L a s t

Mother's Name

F i r s t M i d d l e L a s t

Either Father's or Mother's name is mandatory*

Select the name to appear on PRAN Card

Father's name

Mother's Name

Date of Birth*

d d m m y y y y

Place of Birth*

Country of Birth*

Gender*

Male

Female

Transgender

Nationality*

Marital Status*

Unmarried

Married

Widow/Widower

Divorcee

Spouse Name* (if married)

F i r s t M i d d l e L a s t

PAN*

or

Form 60 furnished

Submission of PAN or Form 60 is mandatory

Income Range (per annum)

Below 1 lac

1 lac to 5 lac

5 lac to 10 lac

10 lac to 25 lac

25 lac to 1 Cr

Above 1 Cr

Occupation Details*

Public Sector

Private Sector

Professional

Self Employed

Homemaker

Others.....

Please Tick if Applicable

Politically exposed person

Related to Politically exposed person

(Please refer instruction no. 1)

2. PROOF OF IDENTITY AND ADDRESS* (Refer Sr. No. 2 of the instructions)

Passport

Passport Expiry Date

d d m m y y y y

Driving License

Driving License Expiry Date

d d m m y y y y

Voter ID Card

Proof of possession of Aadhaar

Provide last four digits

NREGA Job Card

PoP Certificate

National Population Register

(refer section 12)

3. ADDRESS DETAILS* (As per the proof submitted)

Line 1

Line 2

V i l l a g e / C i t y

District

State/U.T.

Country

PIN Code

4. CONTACT DETAILS*

Mobile*

9

1

Telephone with STD code

Email ID*

5. BANK DETAILS* (Proof to be submitted - Refer Sr. No. 3 of the instructions)

Account Type

Saving A/c

Current A/c

Bank A/c Number

Bank Name

IFS Code

6. NOMINATION DETAILS* (Refer Sr. No. 4 of the instructions)

A. The nomination shall be in favor of one or more persons belonging to his/her family. For nominating more than one person, submit Annexure III

B. A fresh nomination shall be made by the subscriber on his/her marriage.

C. Before filling-up the details, please refer Nomination relationship matrix provided on instructions page.

Nominee Name

F i r s t M i d d l e L a s t

Relationship

Age

Date of Birth (In case of Minor)

d d / m m / y y y y

Name of Guardian
(if nominee is a minor)

F i r s t M i d d l e L a s t

7. SELECTION OF PENSION FUND (PF) AND INVESTMENT CHOICE* (Refer Sr no. 5 of the instructions)

1. The maximum permitted Equity Investment is 75% of the total asset allocation.
2. All Citizen : Selection of one PF is mandatory else form will be rejected. If no investment choice is selected, funds will be invested in Auto Choice (LC 50).
3. Corporate Model : The PF / Investment Choice may be exercised in consultation with your Employer.

| Pension Fund* (Please Tick (√) one) | Investment Choice (Please Tick (√) one) | | | | | | | | | | |
|--|--|---------------|---------------|---------------|-------------|-------|----------|--------------|--------------|---------------|------|
| <input type="checkbox"/> Aditya Birla Sunlife Pension Mgmt Ltd <input type="checkbox"/> HDFC Pension Mgmt Co Ltd <input type="checkbox"/> Kotak Mahindra Pension Fund Ltd <input type="checkbox"/> Max Life Pension Fund Mgmt Ltd <input type="checkbox"/> TATA Pension Mgmt Ltd | <input type="checkbox"/> Axis Pension Fund Management Limited <input type="checkbox"/> ICICI Prudential Pension Funds Mgmt Co Ltd <input type="checkbox"/> LIC Pension Fund Limited <input type="checkbox"/> SBI Pension Funds Private Limited <input type="checkbox"/> UTI Retirement Solutions Limited | | | | | | | | | | |
| <input type="checkbox"/> Active Choice mention the % share in applicable asset class below | | | | | | | | | | | |
| <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:20%;">E (upto 75%)</th> <th style="width:20%;">C (Upto 100%)</th> <th style="width:20%;">G (Upto 100%)</th> <th style="width:20%;">A (Upto 5%)</th> <th style="width:20%;">Total</th> </tr> <tr> <td>% Equity</td> <td>% Corp Bonds</td> <td>% Govt. Sec.</td> <td>% Alt. Assets</td> <td>100%</td> </tr> </table> | | E (upto 75%) | C (Upto 100%) | G (Upto 100%) | A (Upto 5%) | Total | % Equity | % Corp Bonds | % Govt. Sec. | % Alt. Assets | 100% |
| E (upto 75%) | C (Upto 100%) | G (Upto 100%) | A (Upto 5%) | Total | | | | | | | |
| % Equity | % Corp Bonds | % Govt. Sec. | % Alt. Assets | 100% | | | | | | | |
| OR | | | | | | | | | | | |
| <input type="checkbox"/> Auto Choice Select one life cycle fund below | | | | | | | | | | | |
| Conservative (LC25) <input type="checkbox"/> Moderate (LC50) <input type="checkbox"/> Aggressive (LC75) <input type="checkbox"/> | | | | | | | | | | | |

8. Activate my Tier-II account (please tick (√) to activate) - (Refer Sr. no. 7 of instructions)

Providing PAN is mandatory

- With the same bank, nominee & investment details
 With different bank/nominee/investment details as per Annexure IV

9. FATCA* (Foreign Account Tax Compliance Act) & CRS DECLARATION (Refer Sr no. 6 of the instructions):

- I am a tax resident of India and not resident of any other country
 I am a tax resident of the country/ies mentioned below
 US Person Yes No.

| Particulars | Country (1) | Country (2) | Country (3) |
|---|-------------------|-------------|-------------|
| Country/countries of Tax Residency | | | |
| Address in the jurisdiction for Tax Residence | Address Line 1 | | |
| | City/Town/Village | | |
| | State | | |
| | ZIP/Post Code | | |
| Tax Identification Number (TIN)/Functional equivalent Number | | | |
| TIN/ Functional equivalent Number Issuing Country | | | |
| Validity of documentary evidence provided (Wherever applicable) | ddmmyyyy | ddmmyyyy | ddmmyyyy |

I have understood the information requirement of the Form (read along with the FATCA / CRS Instructions and Terms & Conditions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete and hereby accept the same.

Signature / Thumb Impression* of Applicant (refer instructions)

10. DECLARATION BY APPLICANT* (Refer Sr no. 8 of the instructions)

I have read and understood the terms and condition sof the National Pension System. The information and documents furnished by me are true and correct, to the best of my knowledge. Any changes in the information furnished by me shall be informed to CRA / NPS Trust. I do not hold any pre-existing account under NPS. I understand that I shall be fully liable for submission of any false or incorrect information or documents.

Declaration under the Prevention of Money Laundering Act, 2002

I here by declare that the contribution paid by me/on my behalf has been derived from legally declared and assessed sources of income. I understand that NPS Trust has the right to peruse my financial profile or share the information, with other government authorities. I further agree that NPS Trust has the right to close my PRAN in case I am found violating the provisions of any law relating to prevention of money laundering.

Date: Place:

Signature / Thumb Impression* of Applicant (*LTI in case of males and RTI in case of females to be provided. Toe impression in case no hands)

11. DECLARATION BY EMPLOYER (All Details are Mandatory)

Date of Retirement

Employee Code/ID
 Non-mandatory if not available

CHO Registration Number
 CBO Registration Number

It is certified that _____ is employed with us and the details provided in this subscriber registration form including the address and employment details provided above are as per the service record of the employee maintained with us. It is further certified that he/she has read entries/entries have been read over to him/herby her by us and got confirmed by him/her.

Name of the Authorised Person
 Designation of the Authorised Person
 Date
 Place

Signature of Authorised person Rubber stamp of the Employer

12. TO BE FILLED BY POP

Receipt No. (17 digits)

POP Registration Number POP-SP Registration Number

Documents Received:

Existing Customer: I/ we hereby certify/confirm that Shri/Smt/Kum.....is an existing KYC verified customer. The above applicant is having an operative Bank/Demat/Folio/.....account (specify nature of the account) having account number/client ID.....maintained atbranch/office. The KYC documents available with us for this customer/client matches the requirement for opening NPS account and are in compliance with PMLA Rules. I/We further confirm that the Savings Bank a/c of Sh/Smt/Kum.....is not a 'Basic Savings Bank Deposit Account (applicable in case of Bank PoP)

Name of the Authorised Person
 Designation of the Authorised Person
 Date
 Place

Signature of Authorised person Rubber stamp of the Pop

ACKNOWLEDGEMENT

Name of the Subscriber

Application Receipt Date:

Initial contribution amount

Mode of payment Check/ DD Debit Instruction Cash

Stamp and Signature of PoP

Instructions for filling the subscriber registration form

General guidelines

- (a) Please fill in legible handwriting to avoid errors. Do not overwrite. Corrections should be countersigned by the applicant. Applications incomplete in any aspect (or) if mandatory fields are left blank (or) with unclear photograph (or) not accompanied by required documents (or) not authenticated by PoP/PoP-SP are liable to be rejected.
- (b) Copies of documents submitted by the applicant should be self-attested.
- (c) Applicant is advised to retain the acknowledgement slip signed / stamped by the PoP/PoP-SP office.

| SI | Item No | Item Details | Instructions | | | | | | | | | | | | |
|--|---------|--|---|--|--|--|--|--|--|-------------------------|----------------------|---------|--|---------|---------|
| 1 | 1 | Option for PRAN Card and kit | In case a subscriber opts not to have a physical PRAN Card or Welcome Kit, reduced account opening charges of CRA are applicable as under : <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Account opening with Physical PRAN card in (Rs.)</th> <th colspan="2">Account opening with ePRAN card (in Rs.)</th> </tr> <tr> <th colspan="2"></th> <th>Welcome kit in hardcopy</th> <th>eWelcome kit (Email)</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">₹ 40.00</td> <td></td> <td style="text-align: center;">₹ 35.00</td> <td style="text-align: center;">₹ 18.00</td> </tr> </tbody> </table> <p>In case, subscriber has not selected any option (for PRAN card & kit) and Email ID is provided, ePRAN & eWelcome kit will be sent. If Email ID is not provided, physical PRAN kit will be sent.</p> | Account opening with Physical PRAN card in (Rs.) | | Account opening with ePRAN card (in Rs.) | | | | Welcome kit in hardcopy | eWelcome kit (Email) | ₹ 40.00 | | ₹ 35.00 | ₹ 18.00 |
| Account opening with Physical PRAN card in (Rs.) | | Account opening with ePRAN card (in Rs.) | | | | | | | | | | | | | |
| | | Welcome kit in hardcopy | eWelcome kit (Email) | | | | | | | | | | | | |
| ₹ 40.00 | | ₹ 35.00 | ₹ 18.00 | | | | | | | | | | | | |
| | | Fathers Name, Mother's Name | (a) If the name has more than 30 digits, fill Annexure II for the same. (b) If the applicant is an Orphan, he/she may leave the fields blank. However, an official document to support the status to be submitted. | | | | | | | | | | | | |
| | | Politically Exposed Person | Politically Exposed Person's (PEPs) are individuals who are or have been entrusted with prominent public functions such as heads of state or of the government, senior politicians, senior government, judicial or military officials, senior executives of state-owned corporations, important political party officials. | | | | | | | | | | | | |
| 2 | 2 | Proof of Identity and Address | If the applicant is submitting Aadhaar as proof of Identity and Address, the first 8 digits of the Aadhaar number should be redacted / masked on the submitted copy. | | | | | | | | | | | | |
| 3 | 5 | Bank Details | For Tier I & Tier II account, bank details and documentary proof are mandatory. Please submit a cancelled cheque / copy of bank passbook / bank statement / bank certificate / letter from Bank containing applicant's Name, Bank Name, Bank Account Number and IFS Code. | | | | | | | | | | | | |
| 4 | 6 | Nomination Details | (a) If a subscriber has family at the time of making a nomination, the nomination shall be in favour of one or more persons belonging to his/her family. Any nomination made in favour of a person not belonging to family shall be invalid; A fresh nomination shall be made by the subscriber upon marriage and any nomination made before such marriage shall be deemed to be invalid; If at the time of making a nomination the subscriber has no family, the nomination may be in favour of any person or persons but if the subscriber subsequently acquires a family, such nomination shall forthwith be deemed to be invalid and the subscriber shall make a fresh nomination in favour on one or more persons belonging to his family. (b) In case of more than one nominee, the percentage share for each nominee should be in whole numbers and must be equal to 100. (c) Please refer Nomination relationship matrix provided below. | | | | | | | | | | | | |
| 5 | 7 | Selection of Pension Fund (PF) & Investment Choice | Corporate applicants may exercise these choices if the option is extended to them by the employer or else may be ignored. | | | | | | | | | | | | |
| 6 | 9 | FATCA & CRS Declaration | Clarification / Guidelines on filling details if applicant residence for tax purposes in jurisdiction(s) outside India: <ul style="list-style-type: none"> Jurisdiction(s) of Tax Residence : Since US taxes the global income of its citizen, every US citizen of whatever nationality, is also a resident for tax purpose in USA. Tax identification Number (TIN) : TIN need not be reported if it has not been issued by the jurisdiction. However, if the said jurisdiction has issued a high integrity number with an equivalent level of identification (a "Functional equivalent"), the same may be reported. Examples of that type of number for individual include, a social security/insurance number, citizen/personal identification/services code/number and resident registration number). In case applicant is declaring US person status as 'No' but his/her Country of Birth is US, document evidencing Relinquishment of Citizenship should be provided or reasons for not having relinquishment certificate is to be provided. In case applicant is declaring US person status as 'Yes', provide PAN and 'father name' in addition to details required under section 9 of form. | | | | | | | | | | | | |
| 7 | 8 | Tier-II activation | Asset Class A is not available under Tier-II. In case Subscriber has selected to activate Tier-II Account with Same Bank, Nominee and Investment details that of Tier-I where as he/she has chosen allocation in Asset Class A for Tier-I account, the applicant would be required to submit the Annexure IV for Tier-II mentioning the asset allocations. | | | | | | | | | | | | |
| 8 | 9 & 10 | Declaration / Signature by Applicant | In case the applicant is unable to affix signature, Left Thumb Impression in case of male and Right Thumb Impression in case of female should be affixed and in case there is no hands, toe impression of the applicant to be provided. The thumb / toe impression should be attested by two persons, one of whom should be the authorised official of PoP attesting the same under his/her official seal and stamp. | | | | | | | | | | | | |

| Applicable CRA Charges (Excluding taxes) | Protean CRA |
|--|------------------------------|
| Account Opening Charges | Please refer sr. no. 1 above |
| Account Maintenance Charges (p.a.) | ₹ 69 |
| Charge per transaction | ₹ 3.75 |

For more details on CRA charges, please refer NPS Trust website (www.npstrust.org.in)

| Marital Status | Nomination Relationship Matrix (Please mention relationship as per details given below) | | |
|-------------------|---|--|--|
| | Male | Female | Transgender |
| Unmarried | 1. Mother 2. Father 3. Please specify the relationship if any other person | 1. Mother 2. Father 3. Please specify the relationship if any other person | 1. Mother 2. Father 3. Please specify the relationship if any other person |
| Married | 1. Spouse 2. Son 3. Daughter 4. Mother 5. Father 6. Daughter in Law 7. Grandson 8. Granddaughter | 1. Spouse 2. Son 3. Daughter 4. Mother 5. Father 6. Mother in Law 7. Father in Law 8. Daughter in Law 9. Grandson 10. Granddaughter | 1. Spouse 2. Son 3. Daughter 4. Mother 5. Father 6. Mother in Law 7. Father in Law 8. Daughter in Law 9. Grandson 10. Granddaughter |
| Widow/ Widower | 1. Son 2. Daughter 3. Mother 4. Father 5. Daughter in Law 6. Grandson 7. Granddaughter | 1. Son 2. Daughter 3. Mother 4. Father 5. Mother in Law 6. Father in Law 7. Daughter in Law 8. Grandson 9. Granddaughter | 1. Son 2. Daughter 3. Mother 4. Father 5. Mother in Law 6. Father in Law 7. Daughter in Law 8. Grandson 9. Granddaughter |
| Divorcee | 1. Son 2. Daughter 3. Mother 4. Father 5. Daughter in Law 6. Grandson 7. Granddaughter | 1. Son 2. Daughter 3. Mother 4. Father 5. Mother in Law 6. Father in Law 7. Daughter in Law 8. Grandson 9. Granddaughter | 1. Son 2. Daughter 3. Mother 4. Father 5. Mother in Law 6. Father in Law 7. Daughter in Law 8. Grandson 9. Granddaughter |

General Information for Subscribers

- a) The Subscriber can obtain the status of his/her application from CRA and respective Point of Presence (PoP).
- b) Subscribers are advised to retain the acknowledgement slip signed/ stamped by the designated nodal officer where they submit the application.
- c) For more information / clarifications, contact CRA:

Website: <https://www.npscra.nsdl.co.in>
 Call: 022-4090 4242
 Address: Central Recordkeeping Agency (CRA)
 Protean eGov Technologies Limited
 (formerly NSDL e-Governance Infrastructure Limited)
 1st Floor, Times Tower, Kamala Mills Compound, Senapati Bapat Marg,
 Lower Parel (W), Mumbai - 400013

